

Overdiagnosis: The Role of Pathology



H.P. Sinn

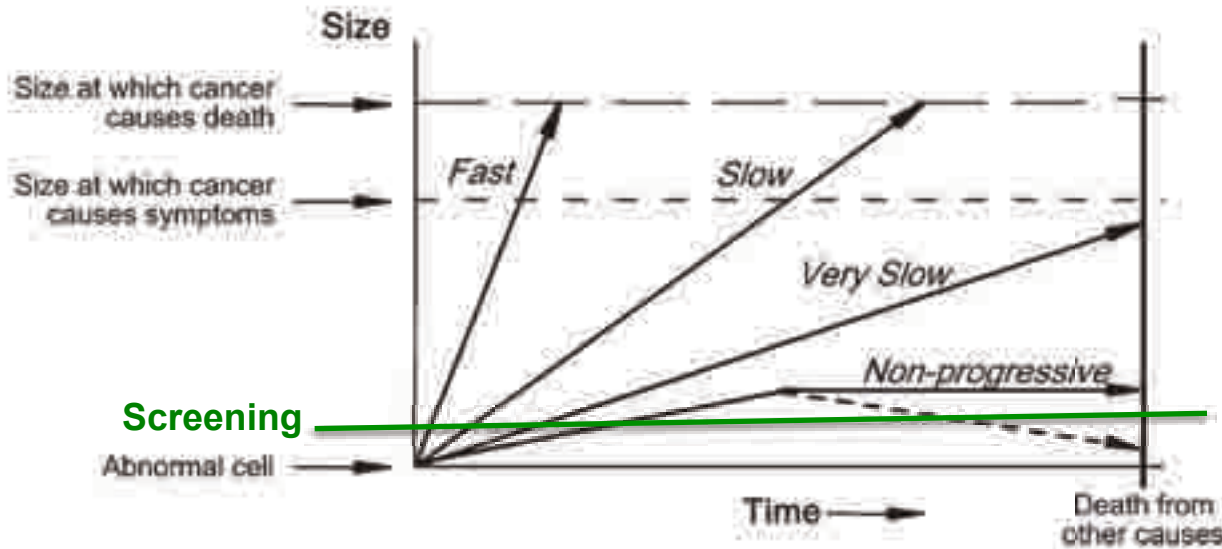
**Head, Division of Gynaecopathology
Dept. of Pathology
University of Heidelberg
Germany**



Overdiagnosis in screening mammography

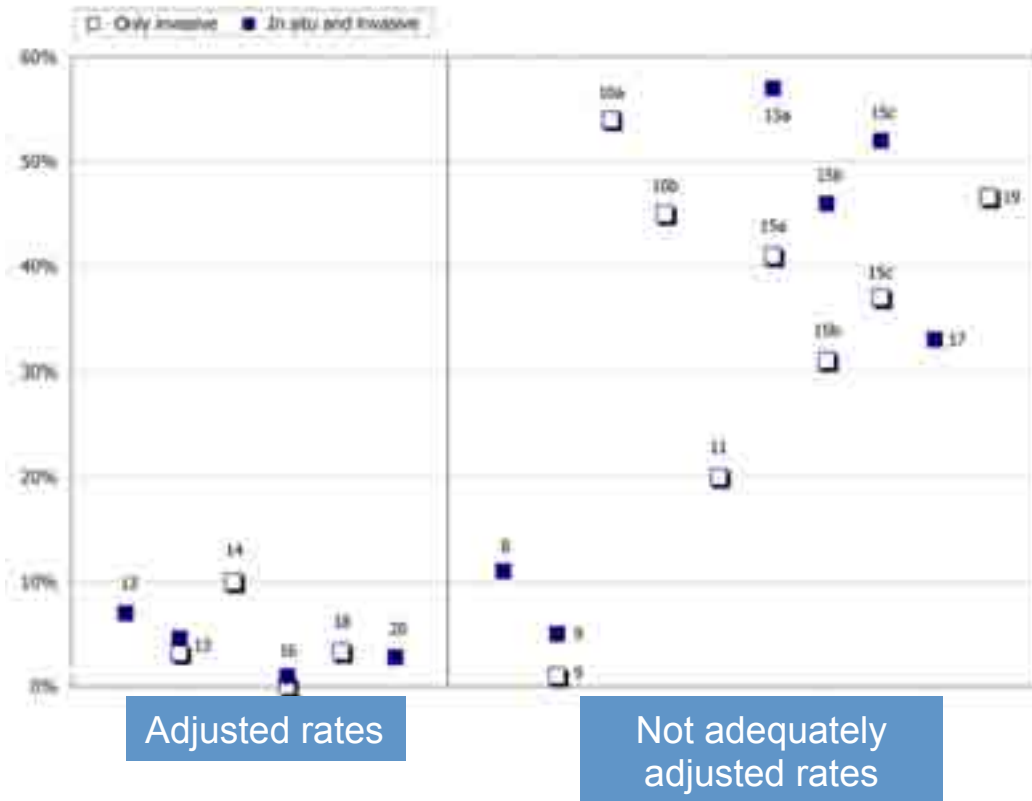
- **Definition:** Overdiagnosis is detection by screening of cancers that never would have come to clinical attention had screening had not taken place

Duffy, S. W. (2005). JMS, 12: 128–133.



Welch, H. G., & Black, W. C. (2010). JNCI, 102: 605–613.

Literature review of overdiagnosis estimates, adjusting for: incidence trends and lead-time



- Netherlands: 2.8%
- Italy: 4.6% / 1.0%
- Denmark: 7.0%
- England: 10% / 3.3%

Puliti, et al.: *JMS* 19 (2012): 42–56

High expectations to the pathology diagnosis

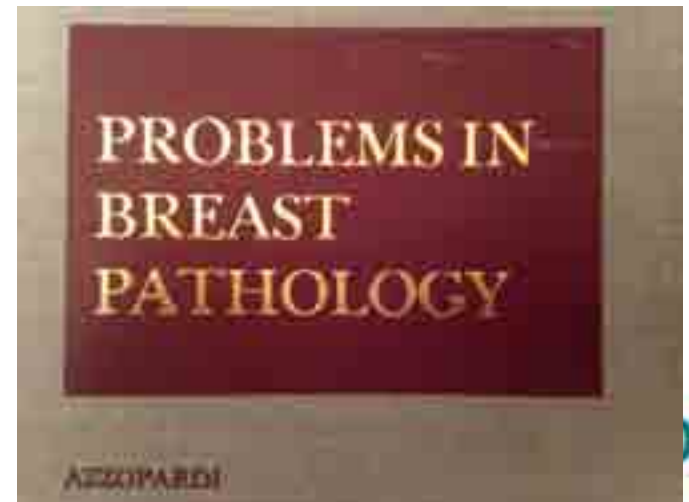
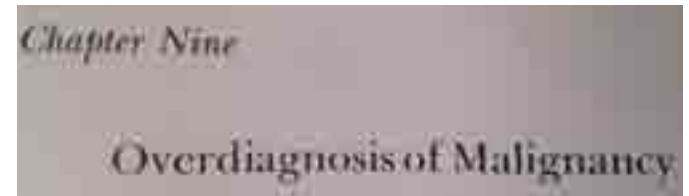
- Objectively define the type of disease
- Comprehensively describe the individual situation
- Strictly follow standardized nomenclature
- Consider and interpret clinical and radiological findings
- Provide guidance to prognostic and predictive factors

Situations leading to overdiagnosis (and overtreatment)

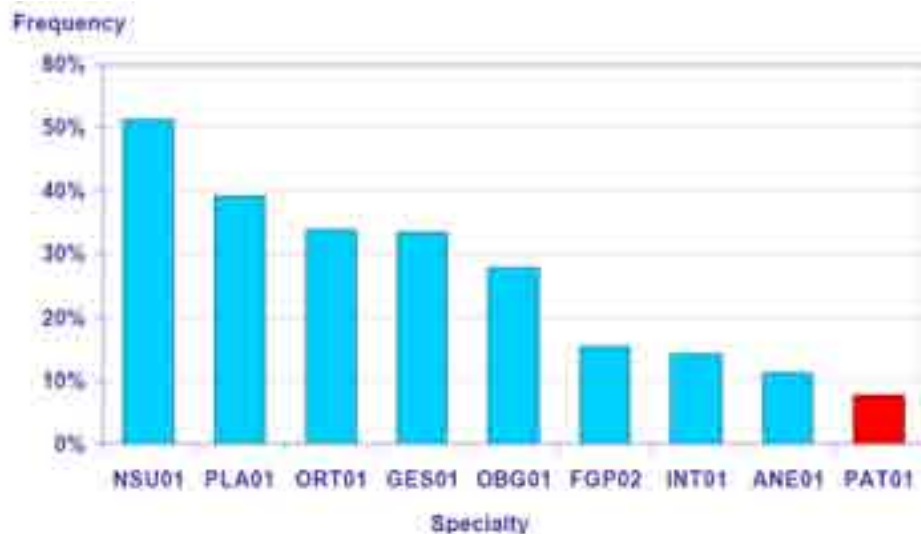
- Errors in interpretation
 - Diagnostic errors
 - Misclassification
- Terminology issues
 - Overinterpretation of B3 lesions
 - Communication problems
- Lesions with very low mortality
 - Low malignant tumors and Ig-DCIS
 - Rare lesions

Diagnostic errors in breast pathology

- Overdiagnosis may occur, especially with pathologists who are inexperienced or not subspecialized in breast pathology
- Azzopardi (1979):
 - Severe epitheliosis (florid ductal hyperplasia)
 - Sclerosing adenosis
 - Infiltrating epitheliosis (sclerosing lesions w/ hyperplasia)
 - Papilloma
 - Fibrosis, Elastosis
 - Pseudo-lobular carcinoma
 - Fat necrosis



Low frequency of diagnostic errors in pathology but high severity



Number of medicolegal claims reported in the US each year per 100 insured physicians (Troxel: USCAP 2006).

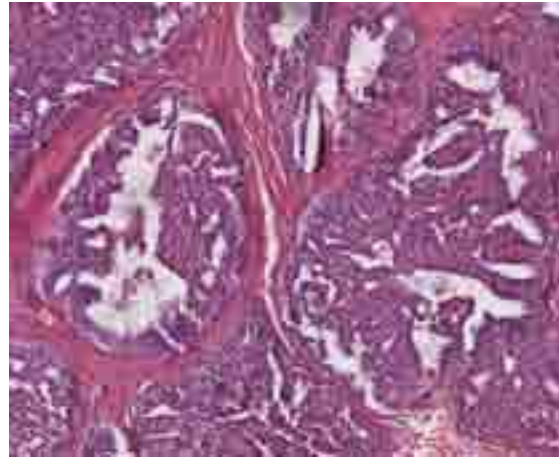
- Breast cases second most common to skin (melanoma)
- Average cost per claim: \$453.200
- High due to failure to detect cancer
- False-negatives more frequent than false-positive cases

Troxel: *Arch Path Lab Med* 130 (2006): 617–19
Kornstein et al. *Arch Path Lab Med* 131 (2007): 615–18

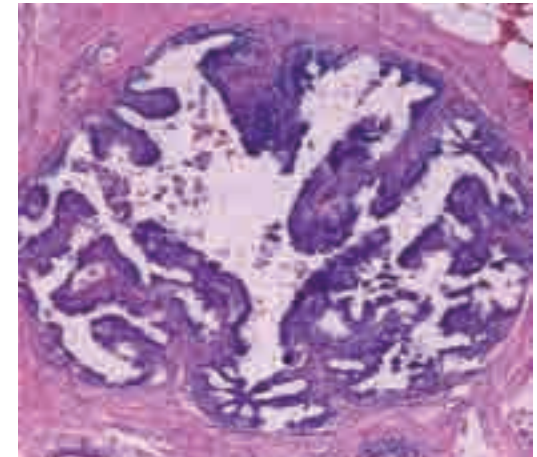
Examples of common diagnostic problems



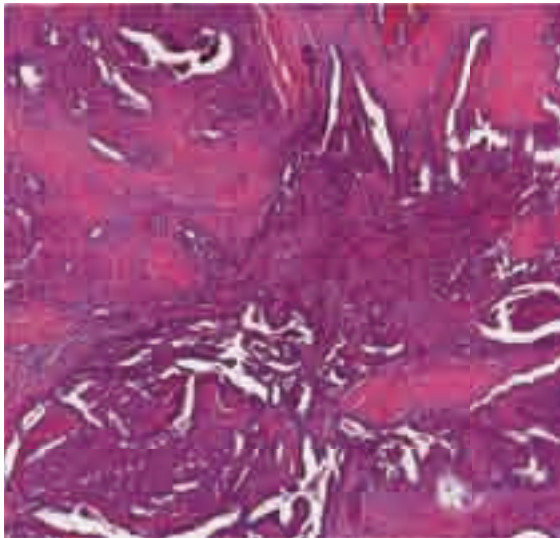
Fat necrosis



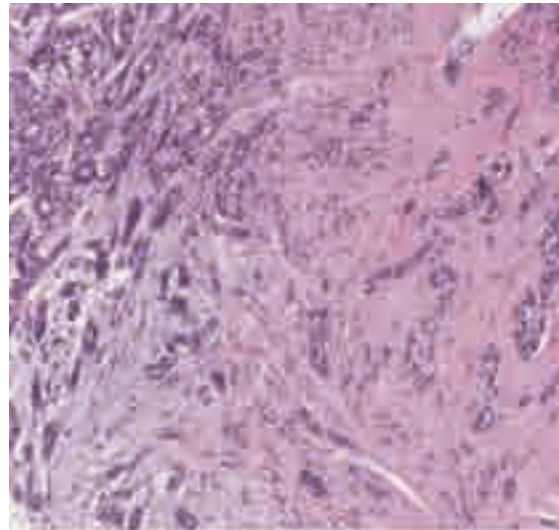
Florid ductal hyperplasia



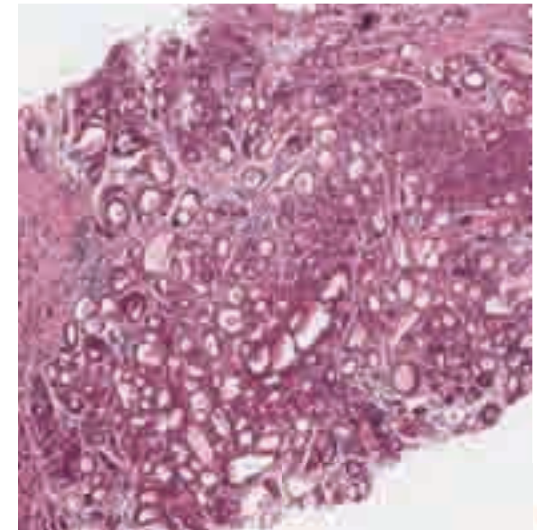
Papilloma



Sclerosing lesion



Sclerosing adenosis



Apocrine adenosis

Some sources of diagnostic errors in pathology

- „Hasty“ diagnosis
- Lack of experience
- Bad technical quality of tissue sections
- Mislabelling of specimen
- Incomplete / missing clinical information

Strategies for Minimizing Errors in Breast Pathology

- Quality assurance programs
 - Consensus slide conference
 - Adherence to established guidelines
 - Accreditation, external audits
- Review of outside pathology slides and reports before the initiation of cancer therapy
- Seeking a second opinion in difficult cases

Major and minor changes after seeking second opinion in breast pathology

TABLE 1. *Major changes in pathologic diagnosis*

Initial diagnosis	Second-opinion diagnosis	n ^a	% Total cases
DCIS	Benign	1	.3
DCIS	Invasive cancer	6	1.7
Invasive cancer	DCIS	7	2.0
Margins positive	Margins negative	10	4.1
Margins negative	Margins positive	6	2.5

DCIS, ductal carcinoma-in-situ.

^a Includes three cases that had both a margin change and another major change. Thus, major changes occurred in 7.8% of cases.

- Change in surgical therapy in up to 7.5% of cases

TABLE 3. *Minor changes in prognostic information*

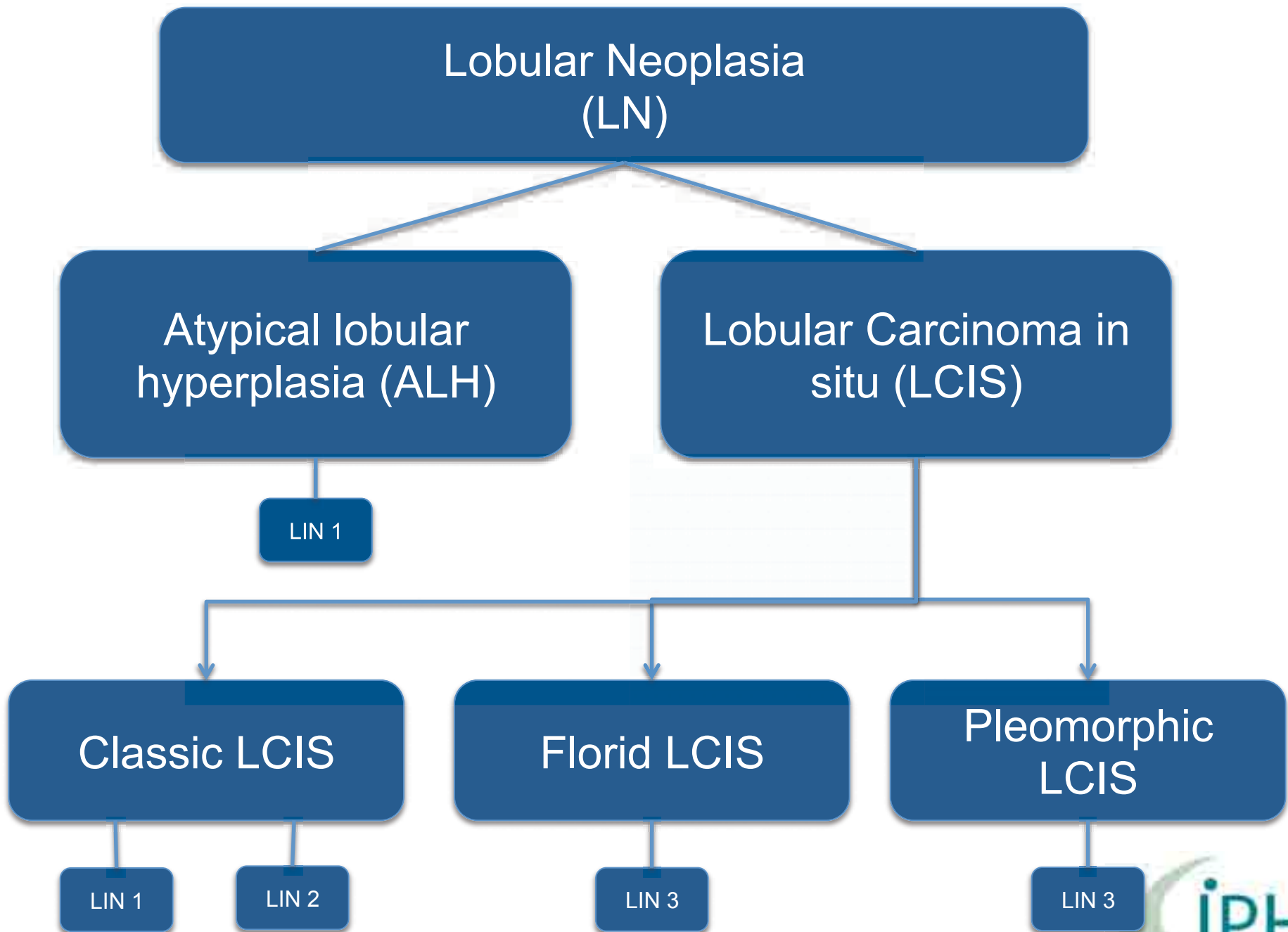
Change	n	%
Prognostic changes		
Grade 1 to other		
Invasive	18	7.4
DCIS	9	11.1
Other grade change		
Invasive	32	13.2
DCIS	8	9.9
No grade on first pathologic report		
Invasive	42	17.4
DCIS	29	35.8
Histological changes		
Change in subtype		
Invasive	61	25.2
Tubular/colloid to other	18	7.4
DCIS	36	44.4
Invasive to invasive + DCIS	43	17.8
No subtype on initial pathologic report		
Invasive	4	1.7
DCIS	9	11.1

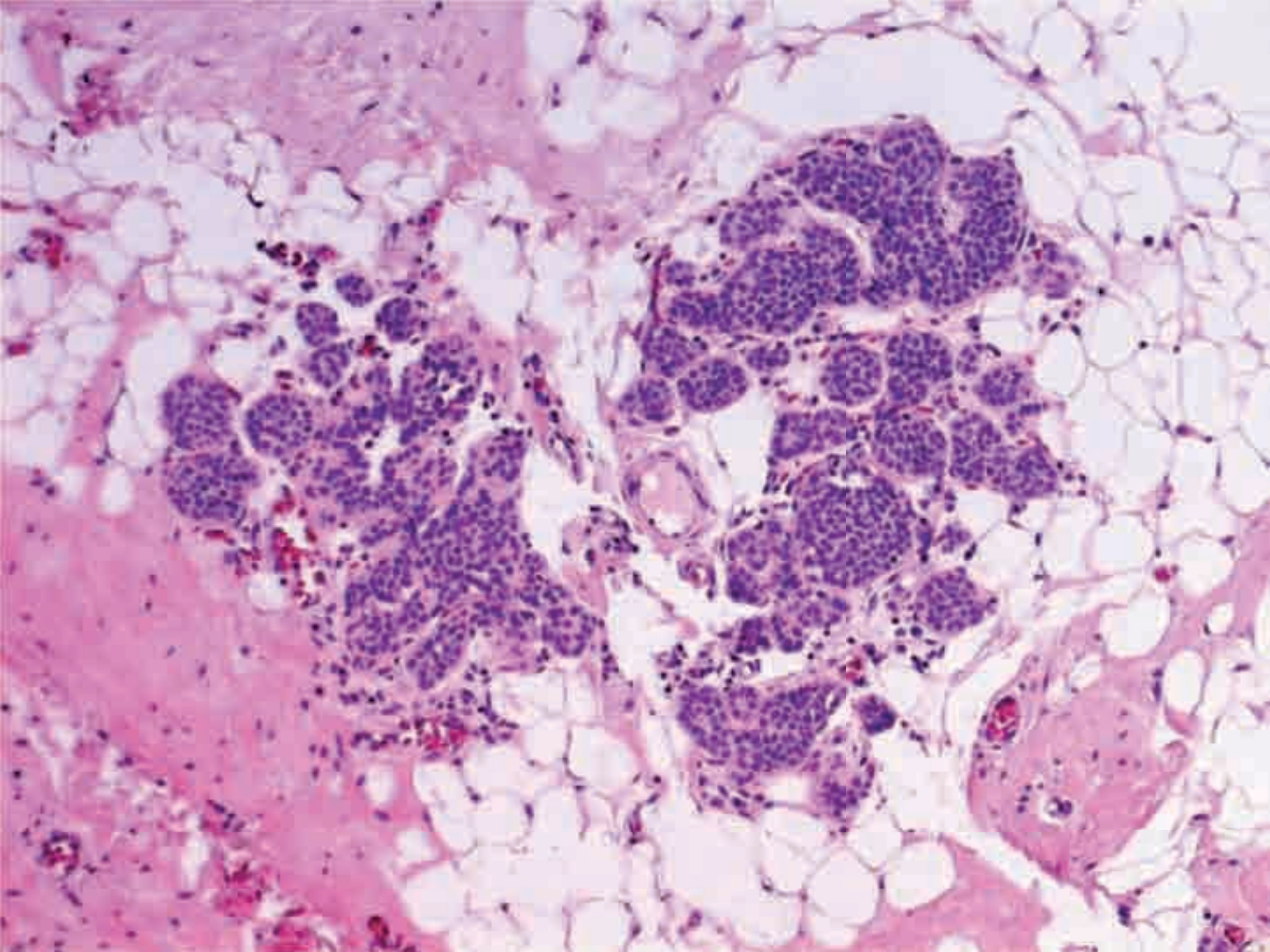
DCIS, ductal carcinoma-in-situ.

- Additional prognostic information in 40% of cases

Situations leading to overdiagnosis (and overtreatment)

- Errors in interpretation
 - Diagnostic errors
 - Misclassification
- Terminology issues
 - Overinterpretation of precancerous lesions
 - Communication problems
- Lesions with very low mortality
 - Low malignant tumors and Ig-DCIS
 - Rare lesions

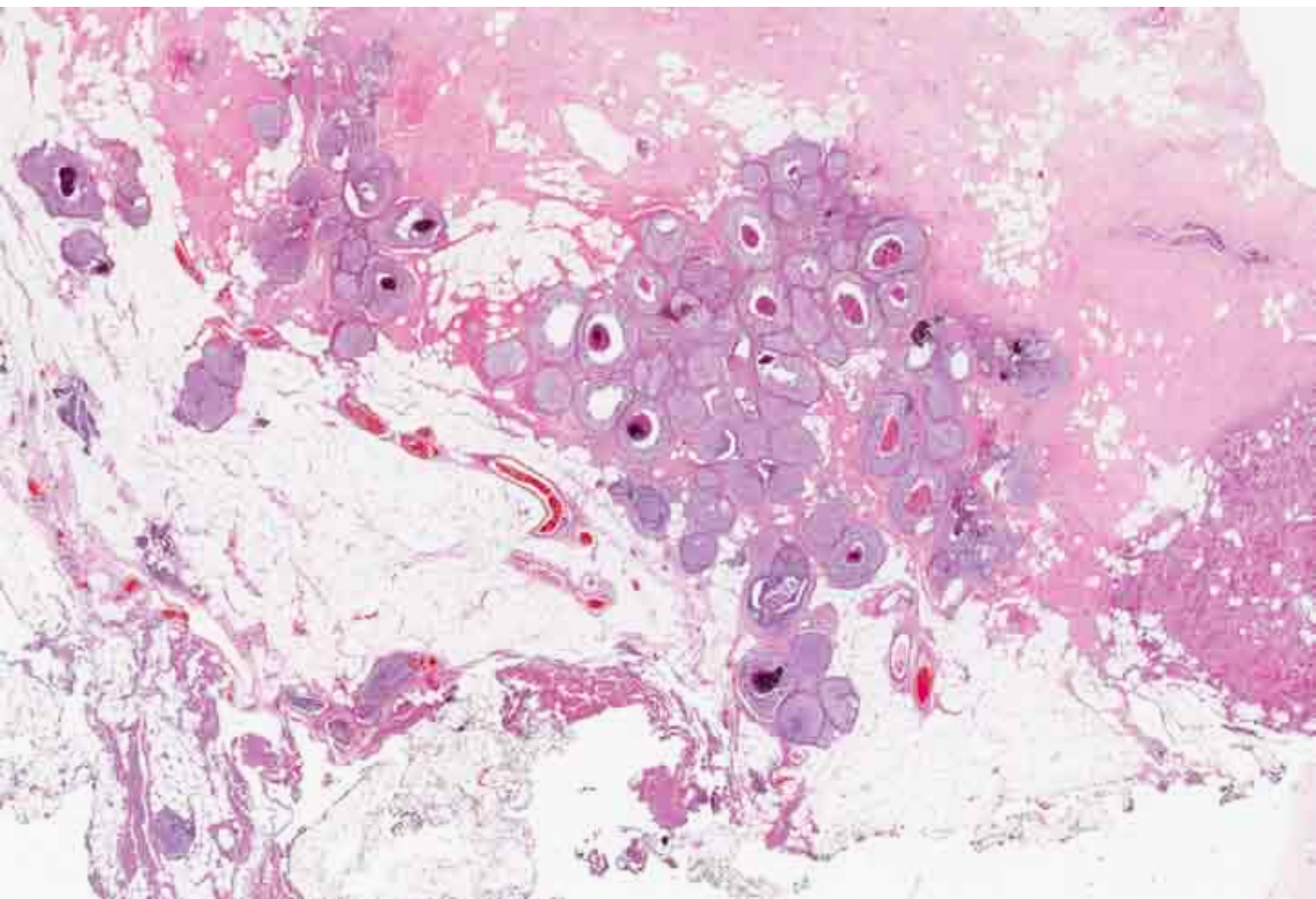




Natural history: ALH vs. LCIS

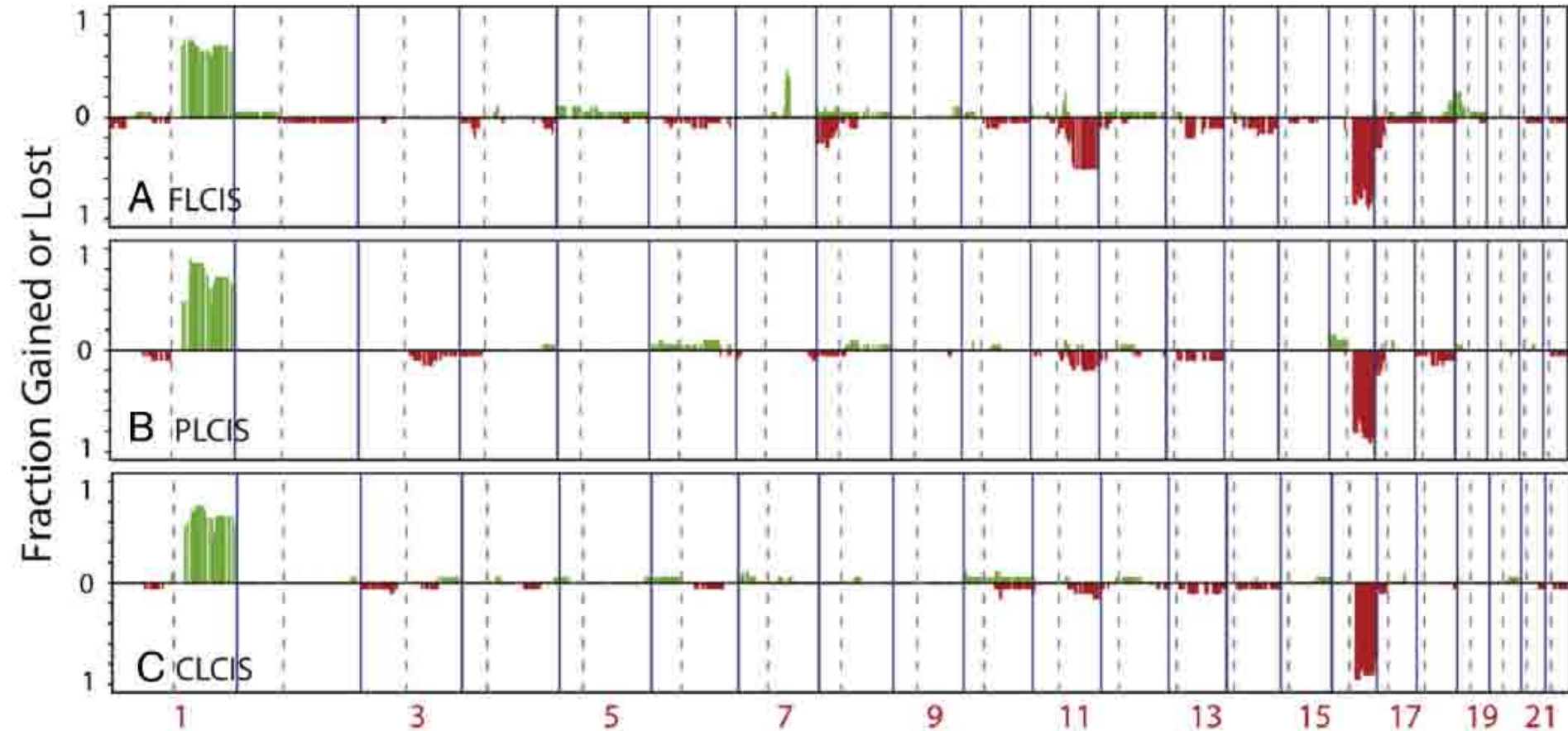
- ALH:
 - 4 - 5x increased risk for invasive breast ca.
 - Individual risiko (15 years): ~8%
- LCIS
 - LCIS: 8 - 9x increased risk for invasive breast ca.
 - Individual risiko (15 years): ~15-20%
- Cofactors
 - Extent / number of lobules involved
 - History of previous breast biopsies
 - Family history

Florid LCIS



aCGH comparison of fLCIS, pLCIS, and cLCIS

Shin et al. *Hum Pathol* 44 (2013): 1998–2009



- fLCIS in situ shares the cytologic features, E-cadherin loss, and the lobular genetic signature loss found in classic lobular carcinoma in situ.
- fLCIS is genetically more advanced compared with the indolent phenotype of classic lobular carcinoma in situ.

European guidelines

- The pathologist is a key member of the specialist multidisciplinary team and has a primary role in the pre- and postoperative conferences. Patient management is largely based on the pathological findings. They should be sufficiently detailed and accurate.

European Guidelines for Quality Assurance in Breast Cancer Screening and Diagnosis. N Perry, M Broeders, C de Wolf, S Törnberg, R Holland, and L von Karsa (eds.) 2008, p. 214

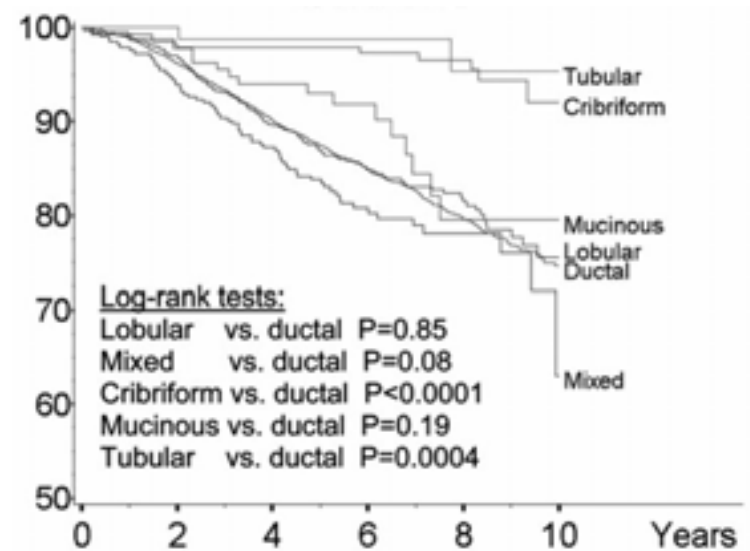
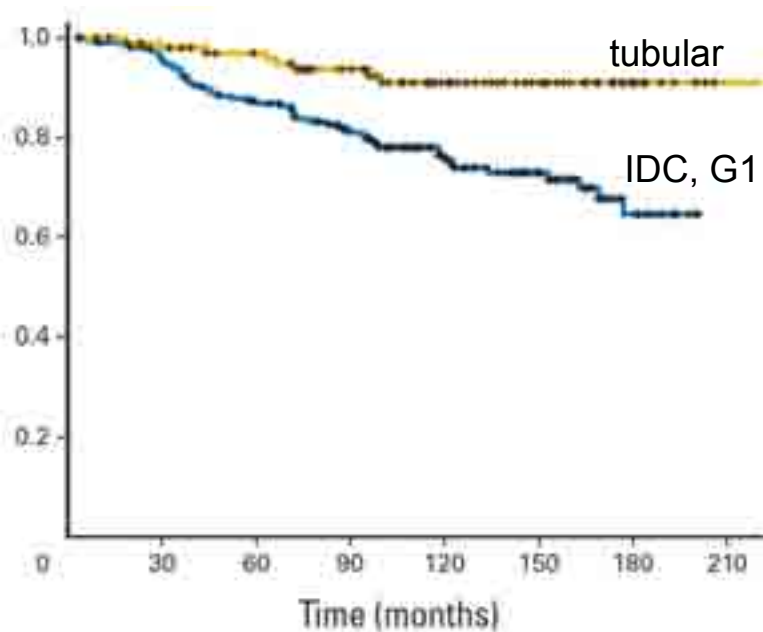
Situations leading to overdiagnosis (and overtreatment)

- Errors in interpretation
 - Diagnostic errors
 - Misclassification
- Terminology issues
 - Overinterpretation of B3-lesions
 - Communication problems
-
- Lesions with very low mortality
 - Low malignant tumors and Ig-DCIS
 - Rare lesions

Invasive tubular carcinoma

Clinicopathological characteristics (Rakha 2009)

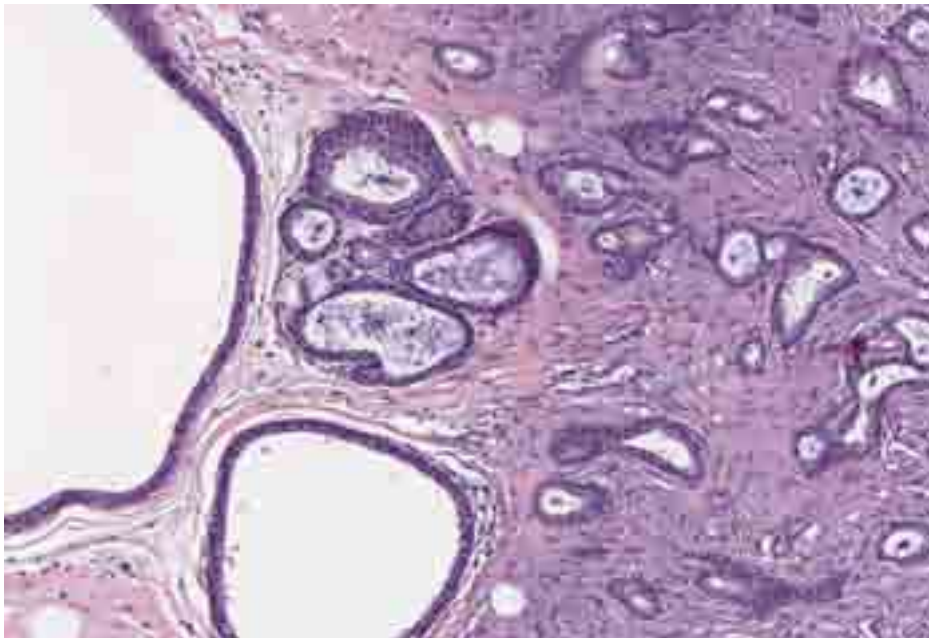
- 68% screen detected
- 59% ≤ 1 cm
- 91% node negative
- 100% good Nottingham prognostic index



Colleoni et al: *Ann Oncol* 23 (2011): 1428–36

Invasive tubular carcinoma – Origin from low grade columnar cell lesions

Histology



CCC

FEA

Inv. tubular
Carcinoma

Molecular biology

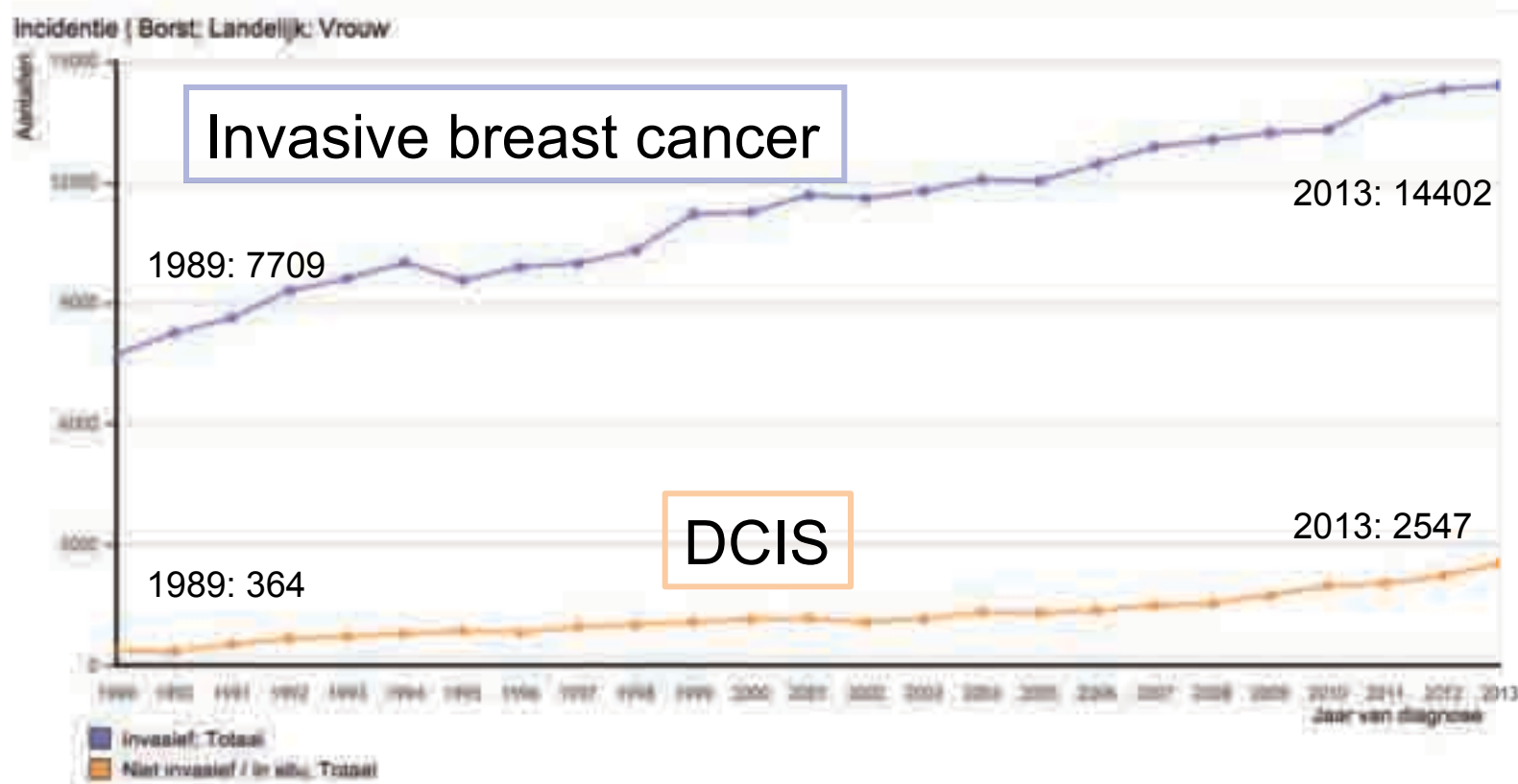
Invasive Tubular Carcinoma of the Breast Frequently is Clonally Related to Flat Epithelial Atypia and Low-grade Ductal Carcinoma In Situ

Sebastian Aulmann, MD, Zeynep Elmasli, MD, Ronald Pinner, PhD, Peter Schmalhofer, MD, and Hans Peter Düvel, MD



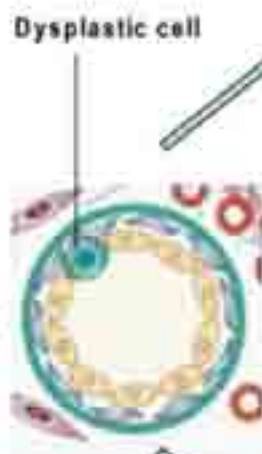
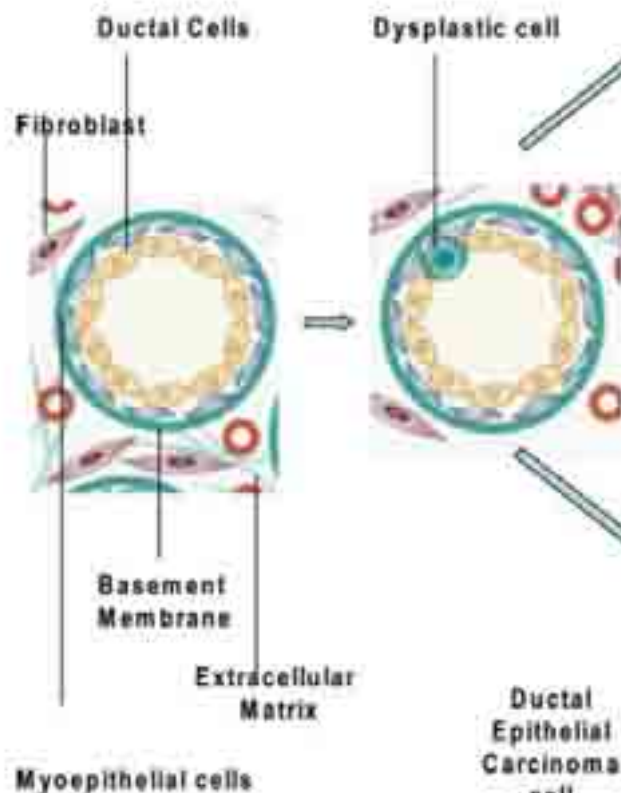
Clonal relationship of FEA and ITC

Annual incidence of invasive ca. and DCIS



Continuous incidence of invasive breast cancer, despite increased detection of DCIS

Classification of DCIS based on biologic potential



Well Differentiated DCIS



Slow progression

Well differentiated IBC



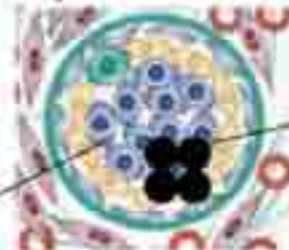
Histology

Low nuclear grade
ER/PR +
No comedo necrosis
HER2 -

Molecular markers

↓ Ki67
P53 normal
Deletion p16
BCL-2 upregulated

Poorly Differentiated DCIS



Comedo necrosis

Fast progression

Poorly Differentiated IBC



Histology

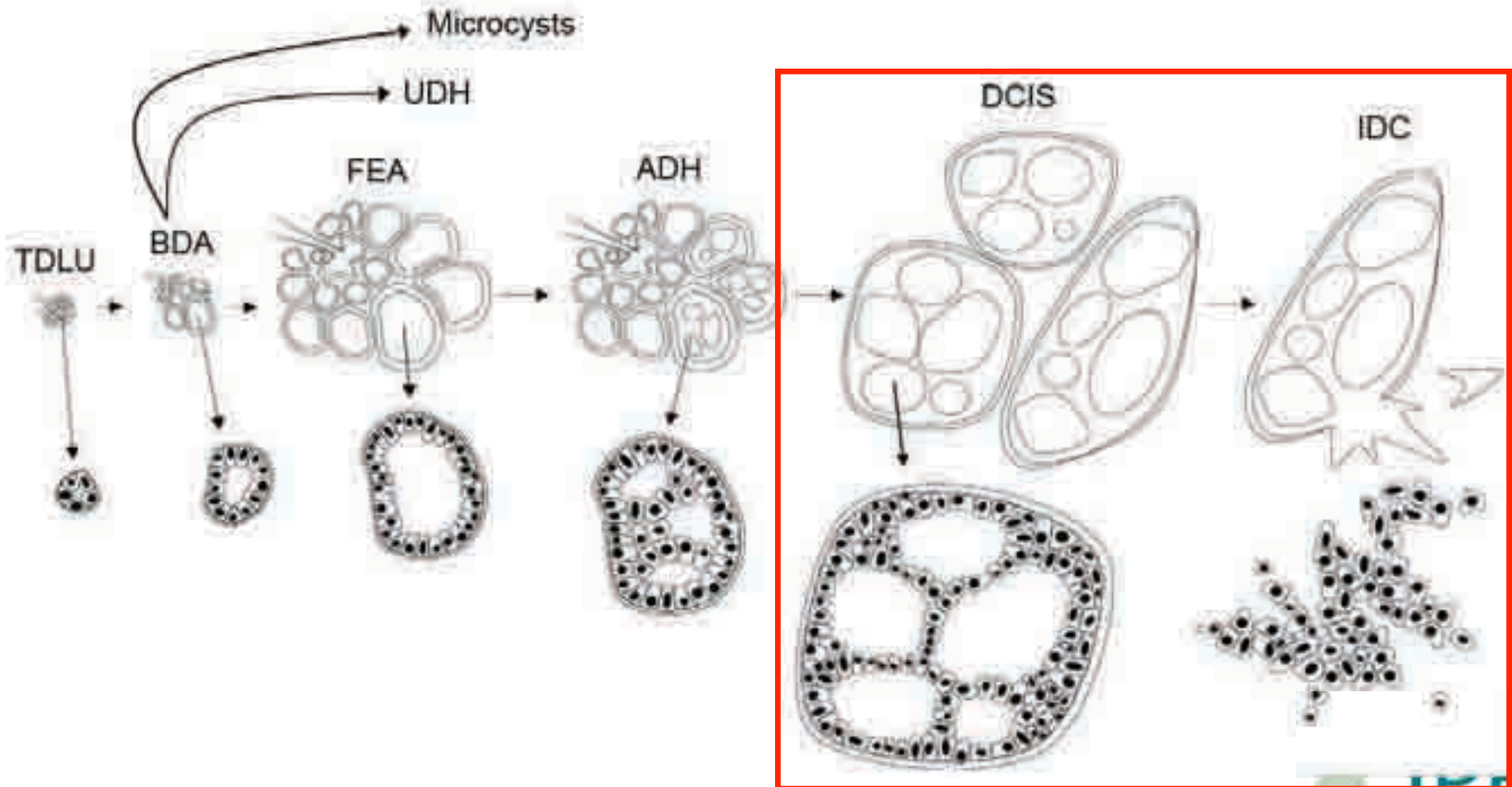
High nuclear grade
ER/PR -
comedo necrosis
HER2 +

Molecular markers

↑ Ki67
LOH 11q13
P53 mutations
↑ COX2
Psoriasis (SI00A7)
+ PAI type I
↑ MMP
↓ hMSH2

Screen detected Ig-DCIS

- How likely is it progression to clinically overt breast cancer during life time?



Invasive Cancer Risk				Options
	10 yr ipsilateral	5 yr ipsilateral	Lifetime (either breast)	Offered / preferred
LCIS		3-6%	20-40%	Active surveillance
Atypia		4-7%	20-40%	Active surveillance
DCIS Score 10	5,0%	2.5%	10-20%	• Lumpectomy • Lumpectomy + XRT +/- Tamoxifen • Mastectomy
DCIS Score 30	10,0%	3.5%	10-20%	
DCIS Score 65	15,0%	7.5%	15-30%	
BRCA 1/2		5-7%	50-85%	• Active surveillance/ screening • Prophylactic mastectomy and/or oophorectomy

After: Essermann ASCO 2012

Invasive Cancer Risk				Options
	10 yr ipsilateral	5 yr ipsilateral	Lifetime (either breast)	Offered / preferred
LCIS		3-6%	20-40%	Active surveillance
Atypia		4-7%	20-40%	Active surveillance
DCIS Score 10	5,0%	2.5%	10-20%	• Lumpectomy • Lumpectomy + XRT +/- Tamoxifen • Mastectomy
DCIS Score 30	10,0%	3.5%	10-20%	
DCIS Score 65	15,0%	7.5%	15-30%	
BRCA 1/2		5-7%	50-85%	• Active surveillance/ screening • Prophylactic mastectomy and/or oophorectomy

With treatment !

Risk of invasiv cancer after biopsy of DCIS alone

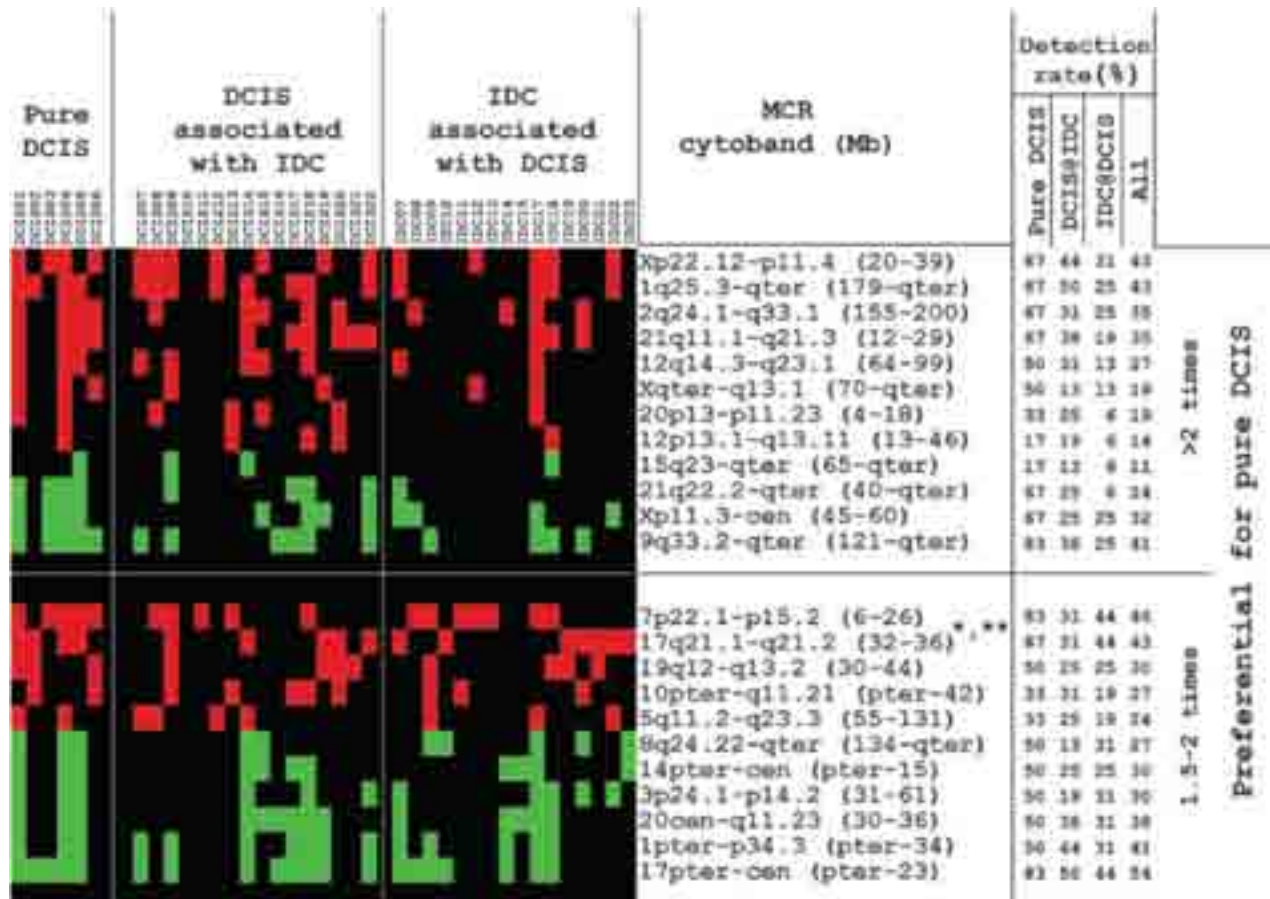
		N	All	%
Lewis	1938	(8)	6	75
Farrow	1970	(25)	5	20
Haagensen	1971	(11)	8	73
Millis	1975	(8)	2	25
Rosen	1980	(15)	8	53
Eusebi	1994	(80)	11	14
Page	1995	(28)	9	32
			Mean	= 28 %

Genomic Differences Between Pure Ductal Carcinoma *In Situ* of the Breast and that Associated with Invasive Disease: a Calibrated aCGH Study

Vladimir V. Iakovlev,¹ Nona C.R. Arneson,¹ Vietty Wong,¹ Chunlei Wang,¹ Stephanie Leung,^{1,8} Galina Iakovleva,⁸ Kerisha Warren,¹ Melania Pintilie,² and Susan J. Done^{1,3,4,5}

Clin Cancer Res 2008;14(14) July 15, 2008

- DCIS associated with IDC is genomically similar to the invasive component and therefore may represent either a clone with high invasive potential or invasive cancer spreading through the ducts.



Low Grade DCIS (LORD) Trial

- Hypothesis: Asymptomatic, low-grade DCIS detected by microcalcifications only can safely be managed by active surveillance
- Aim: To show non-inferiority of active surveillance as compared to standard treatment in low-grade DCIS patients
- Primary end-point: Ipsilateral invasive breast cancer-free rate (IBCF rate) at five years
- Study design:
 - Randomized, non-inferiority trial
 - Age ≥ 49 year
 - Asymptomatic, low grade DCIS w/ microcalcifications

Should Ig-DCIS and LCIS be considered as a precursor lesions or as risk indicators?

- Histologic and molecular evidence indicate the Ig-DCIS and LCIS are both precursor lesions and also risk indicators
- However, due to the slow progression of the lesions, they may never evolve into an aggressive cancer

Personal view:

- Possibly, there is a balance of progression and regression with low-grade lesions, due to the low proliferation rates of the neoplastic cells, and this may explain for the low risk to the patients.

Summary

Overdiagnosis may occur in three different settings:

- Pathology overdiagnosis (misclassification)
- Terminologic overdiagnosis (overinterpretation)
- Academic overdiagnosis (low mortality lesions)